

1 9.4 The STATE will request, obtain and fund any temporary and permanent utility services
2 required for the PROJECT ("Utility Service Work") through separate utility service agreements
3 with SCL.
4

5 9.5 While SDOT is the City lead agency for the PROJECT, the STATE understands and
6 agrees that all PROJECT decisions that are likely to result in expenditure of SCL funds, and all
7 PROJECT decisions that may have operational, maintenance, or access impacts to SCL
8 Facilities, require concurrence of SCL.
9

10 **SCL'S RIGHT TO CORRECT NON-CONFORMING, UNAUTHORIZED AND DEFECTIVE WORK**

13 10.1 If the STATE or its contractor fails to remedy, or fails to properly remedy, non-
14 conforming, unauthorized or Defective Work within the time specified by SCL, which is not to
15 be less than ten (10) Business Days, SCL may, but is not required to, correct and remedy such
16 work by any means as SCL may deem necessary, including the use of SCL staff or contractors.
17

18 10.2 If the STATE or its contractor fails to comply with a written notice to remedy what SCL
19 determines to be an emergency situation, SCL may, but is not required to, have the non-
20 conforming, unauthorized or Defective Work corrected immediately, have such work removed
21 and replaced, or have work the STATE or its contractor refuses to correct completed. An
22 emergency situation shall mean a condition that calls for immediate action to respond to danger
23 to health, safety or property.
24

25 10.3 Direct and indirect costs incurred by SCL attributable to correcting and remedying
26 unauthorized, non-conforming or Defective Work, or work the STATE or its contractor failed or
27 refused to perform, shall be paid by the STATE to SCL within 45 calendar days after receipt of
28 an invoice, as further defined in Exhibit B of GCA 6486.
29

30 10.4 Except in an emergency situation as defined under Section 10.2, disagreements between
31 SCL and the STATE on what constitutes non-conforming, unauthorized or Defective Work shall
32 be resolved using the dispute resolution process established in Section 19 herein prior to SCL
33 performing any work.
34

35 10.5 Any and all services, including direction, provided by SCL pursuant to this section shall be
36 subject to all limitations on the CITY's liability contained in GCA 6486, including but not limited
37 to Section 16, Risk Allocation.
38

39 **11. SCL ACCESS AND INSPECTION OF SCL FACILITIES WORK**

41 11.1 Neither the STATE nor its contractor shall require SCL to interrupt electrical service
42 without (a) written notice to SCL at least fourteen (14) calendar days prior to the planned
43 interruption and (b) SCL's written approval. SCL may restrict electrical service interruptions to
44 the extent necessary to maintain electrical system operations and adequate power supply to
45 customers.

1
2 11.2 The STATE shall ensure the SCL has the right to safe access to their facilities at any time
3 to operate and maintain existing and newly installed SCL Facilities or to inspect or perform SCL
4 Facilities Work. For purposes of this Agreement, "access" shall mean that the vaults, vault
5 openings, handholes, power poles, ductbanks, substation equipment or substation entrances shall
6 not be blocked, covered or otherwise inaccessible to SCL. With the exception of SCL's on-site
7 inspector, SCL staff will notify the STATE in advance of their arrival on site except in the case
8 of emergency in accordance with site access procedures to be developed by the PARTIES.
9

10 11.3 Under no circumstances shall the STATE, its contractor, or anyone other than SCL
11 personnel enter any energized SCL Facilities or operate any portion of the existing or new SCL
12 Facilities, without SCL personnel approval and supervision.
13

14 11.4 The STATE agrees and acknowledges that SCL shall have an on-site inspector available
15 during the construction of SCL Facilities for SCL's quality assurance. The STATE agrees and
16 acknowledges SCL's on-site inspector shall (a) have timely and complete access to the
17 construction work associated with the SCL Facilities Work; (b) be timely informed of all
18 relevant construction timelines associated with such work; and (c) have the authority to, but not
19 be required to, reject and have corrected and/or replaced any construction or materials deemed to
20 be deficient, or which deviate from the Approved Plans or any SCL-approved revisions to the
21 Approved Plans. In such instances, SCL's on-site inspector, or SCL's project manager, will
22 immediately direct comments and issues to the STATE's construction Project Engineer or
23 designated representative, which will be followed up in writing as soon as possible but no later
24 than ten (10) Business Days of the date of any inspection. The STATE shall promptly address
25 each comment or issue presented by SCL to SCL's satisfaction. SCL staff will continue to be
26 supervised by SCL management.
27

28 11.5 The STATE will allow SCL's on-site inspector or Designated Representative to consult
29 with and inquire of the STATE construction Project Engineer, attend all meetings, and have
30 timely and complete access to all documentation as to all matters concerning the SCL Facilities
31 Work. SCL shall not provide direction, directly or indirectly, to the STATE's consultant(s) or
32 contractor.
33

34 11.6 The STATE shall provide SCL with timely notice prior to commencement and
35 completion of all material stages of the SCL Facilities Work and shall invite SCL to inspect such
36 work upon completion of any material stage. The STATE shall timely address each comment or
37 issue presented by SCL to SCL's satisfaction. Both PARTIES agree to act as expeditiously as
38 possible to assure a timely resolution of any deficiencies.
39

40 11.7 SCL shall observe the work on SCL Facilities performed by the STATE to satisfy SCL's
41 needs for quality assurance. SCL will notify the STATE if SCL observes defective SCL
42 Facilities Work, such as improper installation or unsafe conditions.
43
44
45

1 **12. FINAL INSPECTION AND PROJECT ACCEPTANCE**

2
3 12.1 The PARTIES agree to comply with all provisions contained within Section 15 of GCA
4 6486, regarding Final Inspection and Project Acceptance, and such provisions shall apply equally
5 to this Agreement
6

7 12.2 SCL Facilities shall not be placed into interim use or operation, or transferred to the City,
8 unless or until: (a) SCL has participated in an inspection of the SCL Facilities; (b) any
9 deficiencies or Defective Work have been resolved or corrected to SCL's satisfaction; and (c)
10 SCL confirms with the STATE in writing that SCL's minimum inspection and testing
11 requirements for the SCL Facilities have been met.
12

13 **13. WARRANTIES**

14
15 13.1 The PARTIES agree to comply with all provisions contained within Section 17 of GCA
16 6486, regarding Warranties, and such provisions shall apply equally to this Agreement

17 **14. ACQUISITION AND TRANSFER OF EASEMENTS AND FRANCHISES**

18
19 14.1 SCL is responsible for identifying and acquiring, at its sole cost and expense, all property
20 rights needed to complete Relocation Work, except for property otherwise required for the
21 PROJECT.
22

23 14.2 The STATE is responsible for identifying and acquiring, at its sole cost and expense, all
24 property rights needed to complete SCL Facilities Deformation Mitigation Work.
25

26 14.3 The PARTIES recognize that their property acquisition responsibilities include the
27 performance of all appraisal, appraisal review, title review, surveys, property investigation,
28 relocation assistance and all other investigations and services in connection with the acquisition
29 of the permanent easement rights necessary for the SCL Facilities, including, without limitation,
30 identification and investigation of Hazardous Substances as provided in Section 5 of the GCA
31 6486. The STATE shall provide to SCL, as soon as available to the STATE, all reports and
32 documents prepared or obtained in connection with any of the reviews and investigations
33 described above.
34

35 14.4 Where the State is acquiring easement rights for SCL Deformation Mitigation Work, unless
36 the PARTIES otherwise agree in writing, prior to commencement of construction, the STATE
37 shall convey to the CITY the easement rights referred to in Section 14.6 by conveying them
38 substantially in the form as, and containing the same conditions as, the approved Utility Easement
39 form attached and identified as Exhibit A. The Utility Easements conveyed to the CITY shall not
40 be subject to any lien, encumbrance or exception of title of any kind.
41

42 14.5 The legal descriptions will be developed based on the Approved Plans. The PARTIES
43 acknowledge that due to unforeseen field conditions the location of one or more of the easements
44 may need to change after commencement of construction. In that case, the STATE shall provide

1 SCL with documents, reports and information identified in Subsection 14.3 above, relevant to the
2 new or modified easement area. All requirements and conditions pertaining to the original
3 permanent easement shall apply to all amendments and modifications.
4

5 14.6 Where SCL Facilities are located in or near an area which the STATE designates as a
6 limited access facility as defined by RCW 47.52.010, the STATE will ensure that SCL continues
7 to be allowed access to its facilities.

8 14.6.1 The STATE's limited access facility designation for the tunnel shall contain a
9 vertical and horizontal boundary.

10 14.6.2 The STATE agrees that any limited access facility designation for the tunnel will
11 allow SCL to access its SCL Facilities.

12 14.6.3 The area between the limited access facility boundaries and the CITY street shall
13 continue to be CITY Street Right-of-Way.

14 14.6.4 To the extent possible, limited access facility boundaries will be defined in a
15 manner that places SCL Facilities of a significant size, or that are difficult to relocate, outside of
16 the limited access boundaries.

17 14.6.5 In the event the STATE designates as limited access facility any area in or near
18 the tunnel portals on which a SCL Facility exists or will be relocated, the STATE agrees to
19 provide SCL a SCL franchise/utility permit in the form attached hereto as Exhibit B, pursuant to
20 the requirements of Section 14 herein and will make every effort to develop a design that
21 minimizes the need for regular, on-going maintenance access as reasonably feasible.
22

23 15. ENVIRONMENTAL REMEDIATION

24
25 15.1 The PARTIES shall comply with all provisions of GCA 6486, regarding Environmental
26 Remediation, including but not limited to all provisions in Section 5 therein, and such provisions
27 shall apply equally to this Agreement.
28

29 16. RISK ALLOCATION

30
31 16.1 The PARTIES shall comply with all provisions of the GCA 6486, regarding Risk
32 Allocation and Indemnification, including but not limited to all provisions in Section 19 therein,
33 and such provisions shall apply equally to this Agreement.
34

35 17. INSURANCE

36
37 17.1 The PARTIES shall comply with all provisions of the GCA 6486, regarding Insurance,
38 including but not limited to all provisions in Section 20 therein, and such provisions shall apply
39 equally to this Agreement.
40

41 18. THIRD PARTY BENEFICIARY

42
43 18.1 The PARTIES shall comply with all provisions of GCA 6486, regarding Third PARTY
44 Beneficiary, including but not limited to all provisions in Section 21 therein, and such provisions
45 shall apply equally to this Agreement.

1
2 **19. DISPUTE RESOLUTION**
3

4 19.1 Good Faith. SCL and the STATE shall make good faith efforts to resolve any dispute
5 arising under or in connection with this Agreement. The dispute resolution process outlined in
6 this Section applies to disputes arising under or in connection with the terms of this Agreement.
7 In the event that the Parties cannot resolve a disagreement arising under or in connection with
8 this Agreement, the PARTIES shall follow the dispute resolution steps set forth below.
9

10 19.2 Notice. A PARTIES Designated Representative, as defined in Section 8 above, shall
11 notify the other PARTIES Designated Representative in writing of any problem or dispute that a
12 PARTY believes needs resolution. The written notice shall include (a) a description of the issue
13 to be resolved; (b) a description of the differences between the PARTIES on the issue; and (c) a
14 summary of any steps taken to resolve the issue.
15

16 19.3 Meeting. Upon receipt of a written notice of request for dispute resolution, the STATE
17 project engineer and the SCL project manager shall meet within ten (10) Business Days and
18 attempt to resolve the dispute. Any resolution of the dispute requires the agreement of all
19 Designated Representatives attending the meeting or who requested to attend the meeting.
20

21 19.4 Notice of Second Level Meeting. If the PARTIES have not resolved the dispute within
22 five (5) Business Days after the meeting, at any time thereafter either PARTY may request that
23 the dispute be elevated to the next level by notifying the other PARTIES Designated
24 Representative in writing, requesting that the dispute be raised to the Second Level Meeting. The
25 written notification shall include a) a description of the remaining issues to be resolved; b) a
26 description of the differences between the PARTIES on the issues, c) a summary of the steps
27 already taken to resolve the issues, and d) the resolution of any issues that were initially involved
28 in the dispute.
29

30 19.5 Second Level Meeting. Upon receiving a written request that the dispute be elevated to
31 the next level, a meeting shall be held within ten (10) Business Days between the WSDOT
32 project director and the Customer Service and Energy Delivery Officer of Seattle City Light to
33 resolve the dispute. Any resolution of the dispute requires the agreement of all Representatives
34 attending the meeting or who requested to attend the meeting.
35

36 19.6 Notice of Third Level Meeting. If the PARTIES have not resolved the dispute within
37 five (5) Business Days after the Second Level Meeting, at any time thereafter either PARTY may
38 request that the dispute be elevated to the next level by notifying the other PARTIES Designated
39 Representative in writing, requesting that the dispute be raised to the Third Level Meeting. The
40 written notification shall include a) a description of the remaining issues to be resolved; b) a
41 description of the differences between the PARTIES on the issues, c) a summary of the steps
42 already taken to resolve the issue, and d) the resolution of any issues that were initially involved
43 in the dispute.
44

1 19.7 Third Level Meeting. Elevate to the Executive Committee. Upon receiving a written
2 request that the dispute be elevated to the third level, a meeting shall be held within ten (10)
3 Business Days between the WSDOT Program Administrator and Superintendent of Seattle City
4 Light to resolve the dispute. Any resolution of the dispute requires the agreement of all
5 Representatives attending the meeting or who requested to attend the meeting.

6
7 19.8 Court of Law. If the PARTIES have not resolved the dispute within five (5) Business
8 Days after the third level meeting, at any time thereafter either PARTY may seek relief under
9 this Agreement in a court of law. The PARTIES agree that they have no right to relief in a court
10 of law until they have completed the dispute resolution process outlined in this Section.

11
12 19.9 A PARTIES request to utilize this Dispute Resolution process is not evidence that either
13 PARTY is in breach of this Agreement, and does not relieve any PARTY from complying with its
14 obligations under this Agreement.

15 16 **20. REMEDIES; ENFORCEMENT**

17
18 20.1 The PARTIES agree that provisions of GCA 6486, regarding Remedies; Enforcement,
19 including but not limited to Section 24 therein, shall apply equally to this Agreement.

20 21 **21. TERMINATION**

22
23 21.1 This Agreement may be terminated as provided in Section 28 of GCA 6486 regarding
24 Termination which shall apply equally to this Agreement.

25 26 **22. CONFIDENTIALITY OF INFORMATION AND RECORDS**

27
28 22.1 The provisions of the SDOT Bored Tunnel Agreement, regarding Confidentiality of
29 Information and Records, including but not limited to Section 29 therein, shall apply equally to
30 this SCL Bored Tunnel Agreement. In addition, the Federal Energy Regulatory Commission
31 (FERC) and the North American Electric Reliability Corporation (NERC) require that SCL limit
32 access and disclosure of certain sensitive Critical Energy Infrastructure Information. Therefore,
33 SCL shall require the STATE and its contractors who have access to documents marked
34 "confidential" or "proprietary" to sign the Non-Disclosure Agreement attached hereto as Exhibit
35 C.

36 37 **23. EFFECTIVENESS AND DURATION**

38
39 23.1 This Agreement shall be effective as of the date the last PARTY signs and, unless sooner
40 terminated pursuant to the terms hereof, shall remain in effect until final completion of all
41 PARTIES' obligations contained or referred to in this Agreement, GCA 6486, and the SPU
42 Agreement, UT 01474.

1 **24. GENERAL PROVISIONS**

2
3 24.1 The General Provisions set forth in the GCA 6486, including but not limited to Section
4 30 therein, shall apply equally to this Agreement.

5
6 IN WITNESS WHEREOF, the PARTIES hereto have executed this Agreement as of the last day
7 and year written below.

8
9
10 **CITY OF SEATTLE**

11 **WASHINGTON STATE**

12
13
14 By: _____

15 By: *Redd/Ream*

16
17
18 Title: _____

19 Title: *Administrator, AWVSRP*

20
21 Date: _____

22 Date: *1/28/2011*

23
24 APPROVED AS TO FORM:

25
26
27 By: *Bryce Beam*

28 Title: *Senior Assistant*
29 *Attorney General*

30 Date: *1-28-11*

MEMORANDUM OF AGREEMENT

UT 01476

SR 99 ALASKAN WAY VIADUCT REPLACEMENT
SCL FACILITIES WORK AGREEMENT
FOR SR 99 BORED TUNNEL PROJECT

EXHIBIT A
EASEMENT DEED
TEMPLATE

UT 01476

EXHIBIT A

Easement Format

AFTER RECORDING RETURN TO:

ATTN: REAL ESTATE SERVICES
SEATTLE CITY LIGHT
P.O. BOX 34023
SEATTLE, WA 98124-4023

Document Title: Easement Deed

Reference Number of Related Document:

Grantor(s):

Grantee(s): City of Seattle

Legal Description: TBD

Additional Legal Description is on Page ____ of document

Assessor's Tax Parcel Number: TBD

EASEMENT DEED

[Insert summary description of vicinity]

This NON-EXCLUSIVE PERMANENT EASEMENT is made this ____ day of _____, 20____, between, _____, herein after referred to as the Grantor, and the City of Seattle, a municipal corporation, hereinafter referred to as the Grantee; WITNESSTH:

That the Grantor, for and in consideration of the sum of TEN DOLLARS AND NO/100, (\$10.00) and other good and valuable consideration, receipt of which is hereby acknowledged, hereby conveys and grants to the Grantee, its successors and assigns, a non-exclusive permanent easement for the right, privilege and authority to install, construct, erect, alter, improve, repair, energize, operate and maintain underground electric distribution and transmission facilities at depths not exceeding 15 feet, which consist of transformers, vaults, manholes, cabinets, containers, ducts, conduits, cables, wires and other necessary or convenient appurtenances necessary to make said underground installations an integrated electric system, hereinafter "electrical system." All such electric system is to be located upon, under, and across the following described lands:

Said lands being situated in King County, State of Washington, and described as follows:

See Attachment 1 attached hereto and made a part hereof.

Together with the right at all times to the Grantee, its successors and assigns, of ingress to and egress from said lands across adjacent lands of the Grantor for the purpose of installing, constructing, reconstructing, repairing, renewing, altering, changing, patrolling, energizing and operating said electric system, and the right at any time to remove all or any part of said electric system from said lands. However, prior to construction or reconstruction, Grantee will notify Grantor and provide a plan for Grantor's review and approval. Such approval shall not be unreasonably withheld.

The Grantor, its successors and assigns, hereby covenants and agrees that no permanent structure or fire hazards will be erected or permitted within the above described Easement Area without prior written approval from the Grantee, its successors or assigns; that no digging or other construction activity will be done or permitted within the Easement Area which will in any manner disturb the electric system or its solidity or unearth any portion thereof; and that no blasting or discharge of any explosives will be permitted within fifty (50) feet of said electric system and appurtenances.

The Grantor agrees that any excavation or work performed within, above, or that in any way affects the Easement Area, will be designed and constructed in such a manner that does not during or after construction, materially damage in any way any part or element of the electric system or the access, operation or repair thereof. Any such work shall comply with Seattle City Light Construction Guideline U2-10/NDK-50, incorporated herein by reference.

The Grantor shall furnish Grantee with two copies of all plans and specifications for any new proposed work or improvements located within the Easement Area.

The Grantee shall furnish Grantor with two copies of all plans and specifications for any new proposed work or improvements located within the Easement Area.

The Grantor shall notify Grantee at least five (5) days prior to commencing any construction work within the Easement Area.

The Grantee shall notify Grantor at least five (5) days prior to commencing any construction work within the Easement Area. No notice is required for inspection and maintenance within the Easement Area.

The Grantor acknowledges that Grantee may have an on-site inspector, as it determines necessary, during any excavation and/or construction work within the Easement Area. The inspector shall (a) have timely and complete access to Easement Area work; (b) be timely informed of all relevant construction timelines associated with such work; and (c) have the

authority to reject and have corrected and/or replaced any construction or materials deemed to be deficient or which deviate from the plans and specifications as it relates to the electric system.

Grantee is to be responsible, as provided by law, for any damages to the Grantor, through its negligence in the construction, maintenance and operation of said electric system across, over, upon and under the property of said Grantor.

The rights, title, privileges and authority hereby granted shall continue and be in force until such time as Grantee, its successors and assigns, shall permanently remove all said electric system from said lands or shall permanently abandon said electric system, at which time all such rights, title, privileges and authority hereby granted shall terminate.

The Grantee, its successors and assigns, agrees to comply with all civil rights and anti-discrimination requirements of Chapter 49.60 RCW as to the lands herein described.

The lands herein described are not required for State highway purposes and are conveyed pursuant to the provisions of RCW 47.12.063.

Wherever in this Easement written notices are to be given or made, they will be served, personally delivered or sent by certified or overnight mail addressed to the parties at the addresses listed below unless a different address has been designated in writing and delivered to the other party.

GRANTOR:

GRANTEE:

City of Seattle
Attn: Seattle City Light Real Property Services
700 Fifth Avenue, Suite 3900
Seattle, WA 98124

GRANTOR

[Insert signatory's name]

CITY OF SEATTLE, Seattle Public Utilities
a municipal corporation

By: _____
Authorized Signatory Date

STATE OF WASHINGTON)

) : ss

County of _____)

On this _____ day of _____, 20____, before me personally
appeared _____, Grantor, known to me, and executed the
foregoing instrument, acknowledging said instrument to be the free and voluntary act and deed
of the State of Washington, for the uses and purposes therein mentioned, and on oath stated that
he was authorized to execute said instrument.

Given under my hand and official seal the day and year last above written.

Notary (print name) _____

Notary Public in and for the State of Washington, residing
at _____

My Appointment Expires _____

Attachment 1

Easement Area:

TBD

A.

MEMORANDUM OF AGREEMENT
UT 01476
SR 99 ALASKAN WAY VIADUCT REPLACEMENT
SCL FACILITIES WORK AGREEMENT
FOR SR99 BORED TUNNEL PROJECT

EXHIBIT B

**Franchise/Utility Permit Conditions for Utility Facilities located within Limited Access Areas
designated for the AWVSRP**

Introduction

Below are the terms and conditions that will apply to Franchises / Utility Permits issued to SCL and SPU associated with areas designated new Limited Access Facility for the Proposed Bored Tunnel Project (Project).

The exact location of the Limited Access limits is still not completely defined, and SPU and SCL will likely have pre-existing infrastructure that will fall within the Limited Access area. In addition, there are utilities that will be replaced or relocated that may be installed in areas of Limited Access, though there is a strong preference to limit these occurrences. The Franchise/Utility Permit conditions outlined below would not apply to the building of utility new facilities within the Limited Access areas.

Utility Permit Conditions

The Washington State Department of Transportation ("STATE") hereby grants to the _____ ("CITY") the non-exclusive permission to use a portion of the _____, situated in Seattle, Washington. The rights herein granted are subject to all other easements and permits affecting the lands subject to this Permit.

1. **Background.** The Proposed Bored Tunnel Project (Project) replaces State Route 99 from South Royal Brougham Way to Roy Street and consists of designing and constructing a four-lane bored tunnel from South King Street to Thomas Street; north and south tunnel portals and access streets; re-establishment of the CITY street grid in the vicinity of the portals; and associated utility relocations. The Project is located in Seattle, which is a very densely developed urban environment, with utility infrastructure that has been woven into the fabric of the CITY. There is no alternative but to have the portals associated with the Project emerge into CITY street right of way where CITY owned Utility Facilities currently reside. The cost of purchasing right of way outside of these CITY streets is prohibitive, and the STATE has no choice but to declare portions of the CITY right of way as a Limited Access Facility as part of this Project. This Permit addresses the situation where CITY owned Utility Facilities will have to be modified, incorporated, or replaced in newly-designated Limited Access Facility, which was once CITY street right of way that formed part of STATE Route 99 as provided in RCW 47.24.010 and RCW 47.24.020. The STATE has endeavored to limit the scope of instances where CITY-owned Utility Facilities are relocated into Limited Access Facility or where the Limited Access Facility incorporates existing CITY-owned Utility Facilities.
2. **Purpose.** The purpose of the Permit is to provide for the location, operation, maintenance, replacement, modification, and repair of all existing CITY Utility Facilities, including, but not limited

to, wires, pipelines, fibers, cables, communications devices and associated facilities and equipment both at or below-grade owned by the CITY. The location of the Utility Facilities is within portions of the areas legally described in Exhibit A, and depicted on Exhibit B, each of which is attached and incorporated by reference.

3. Reservation. This Permit shall not be deemed or held to be an exclusive one and shall not prohibit the STATE from granting rights of like or other nature to other public or private utilities, nor shall it prevent the STATE from using any of its roads, streets, or public places, or affect its right to full supervision and control over all or any part of them, none of which is hereby surrendered.
4. Term. The Permit shall have a duration of fifty (50) years, and shall be renewed upon request in writing to the STATE and shall contain the same terms and conditions as this permit, unless otherwise requested by the City and approved by the STATE. The Permit, and shall be transferable to any third party fulfilling the function of CITY, and the third party shall have all of the same rights, obligations, and benefits herein provided to CITY.
5. Permitted Users. The STATE acknowledges that CITY may choose to allow its agents, contractors, employees, lessees, successors and assigns use of the lands subject to this Permit for the intended purpose. The rights, title, privileges and authority hereby granted in this Permit shall continue and be in force until such time as the CITY, its successors and assigns, shall permanently remove all Utility Facilities from the area or permanently abandon the Utility Facilities.
6. Relocation of Utility Facilities. Due to the fact that there are no reasonable alternative locations within which to relocate the CITY-owned utility facilities, and further due to the STATE's obligations to mitigate damages and limit Project costs, there may be a need to relocate the CITY-owned Utility Facilities within the STATE's Limited Access Facility. Whenever necessary for the construction, repair, improvement, alteration, or relocation of any portion of Project in Limited Access as determined by the STATE, or if the STATE shall determine that the removal of any or all Utility Facilities from the said lands is necessary, incidental, or convenient to the construction, repair, improvement, alteration, or relocation of the public road or street located in the STATE's Limited Access Facility, the CITY shall, upon written notice by the STATE, relocate or remove any or all of such Utility Facilities from the Limited Access Facility as may be required by the STATE. The STATE agrees to pay the full reasonable costs of such relocations and agrees to give the CITY 3 years advance notice of the needed relocations in order for the CITY to adequately plan, design and construct the relocations. In the event CITY fails to remove or relocate the Utility Facilities within a reasonable time, the STATE may undertake such removal or relocation, at the sole expense of the STATE and with all necessary coordination with the CITY.
7. Maintenance, Replacement, Repair, and Modification. All maintenance, replacement, repair, and modification of the Utility Facilities by CITY, for that area depicted on Exhibit B, shall be done in such manner as will cause the least interference with any of the STATE's performance in the operation and maintenance of XXX. All costs for such work shall be at the sole expense of the CITY, unless the need for such work is caused by the STATE. Any replacement or modification of existing Utility Facilities, within the area depicted on Exhibit B, that require the placement of above-ground facilities, shall require the issuance of an additional Utility Permit by the STATE for such construction of above-ground facilities,, which permit shall not be unreasonably withheld, and shall conform with the Control Zone guidelines referenced in WAC 468-34-170 and WAC 468-34-350.
8. Restoration of Highway. Except as set forth in paragraph 6 above, the CITY agrees, at its own expense, to restore paving, grading, landscaping and other improvements damaged by CITY's activities under this Permit to at least as good a condition as such paving, grading, landscaping and

other improvements were in immediately prior to the CITY's commencement of work. All material and workmanship shall conform to the Washington State Department of Transportation Standard Specification for Road, Bridge and Municipal Construction, as it may exist at that time, and may be subject to inspection by the STATE. Upon failure, neglect, or refusal of the CITY to timely restore the highway as required of the CITY, the STATE may undertake and perform such restoration, at the sole cost and expense of the CITY.

9. Emergency Access to CITY-Owned Utility Facilities. In the event of an emergency, the CITY will have 24 hour access to CITY-owned Utility Facilities located in STATE Limited Access. In an emergency, the STATE shall cooperate with the requests of the CITY, to facilitate CITY's response to the situation in order to protect the public health, safety and welfare. In situations of non-emergency, the CITY will have access to CITY-owned Utility Facilities as outlined in paragraph 10.
10. Construction and Maintenance of Utility Facilities in Non-Emergency Situations.
 - A. The CITY has the right to install, construct, alter, repair, operate, improve and maintain all CITY-owned Utility Facilities, including appurtenances associated with this Permit. The CITY has the right to replace any of the permitted Utility Facilities with facilities of the similar size or configuration, in the same location as the originally-permitted Utility Facilities without requesting a change to this Permit.
 - B. The CITY shall provide the STATE fifteen (15) business days written notice prior to commencement of maintenance activities under this Permit, and at least forty-five (45) business days written notice prior to commencement of construction activities under this Permit. In both cases, the CITY shall submit to the STATE work plans depicting the work to be performed by the CITY and shall coordinate with the STATE (WSDOT NW Region Maintenance Engineer) during these time periods. The STATE will make all reasonable effort to provide a letter of authorization to the CITY within fifteen (15) business days for maintenance activities and sixty (60) business days for construction activities.
 - C. Prior to the beginning of construction, a preconstruction conference shall be held, at which time the STATE, the CITY, and appropriate engineers and inspectors shall be present.
 - D. A copy of this Permit must be posted on the job site, and protected from the elements, at all times during any construction authorized by this Permit.
 - E. In the event any milepost, right of way marker, fence or guard rail is located within the limits of CITY's construction and will be disturbed during construction, these items will be carefully removed prior to construction and reset or replaced at the conclusion of construction to the satisfaction of the STATE. All signs and traffic control devices must be maintained in operation during construction.
 - F. Prior to construction, the CITY shall contact the STATE representative to ascertain the location of survey control monuments within the project limits. In the event any monuments will be altered, damaged or destroyed by the project, appropriate action will be taken by the STATE, prior to construction, to reference or reset the monuments. Any monuments altered, damaged or destroyed by the CITY's operation will be reset or replaced by the STATE at the sole expense of the CITY.

- G. During the construction and/or maintenance of the utilities, the CITY shall comply with the "Manual on Uniform Traffic Control Devices for Streets and Highways" as it may exist at that time, as well as any applicable Washington statutes or regulation. Any closure or restriction of the Limited Access Facility requested by the CITY pursuant to this Permit shall require the CITY to submit a traffic control plan for the STATE's timely approval. The timely approval will be commensurate with the scope of the work proposed. Except in case of emergency, no work pursuant to this Permit can be performed on the XXX until the STATE has approved the traffic control plan.
 - H. Should the CITY choose to perform the work outlined herein with other than its own forces, a representative of the CITY shall be present at all times unless otherwise agreed to by the STATE representative. All contact between the STATE and the CITY's contractor shall be through the representative of the CITY. Where the CITY chooses to perform the work with its own forces, it may elect to appoint one of its own employees engaged in the construction as its representative. Failure to comply with this provision shall be grounds for restricting any further work by the CITY within the STATE right of way until said requirement is met. The CITY, at its own expense, shall adequately police and supervise all construction work by itself, its contractor, subcontractor, agent, and others, so as not to endanger or injure any person or property.
 - I. Except in an emergency or unless authorized by the STATE, work shall be restricted to between the hours of 9:00 a.m. and 3:30 p.m. and the hours of 7:00 p.m. and 5:00 a.m., and no work shall be allowed on the right of way on holidays.
 - J. All trenches, boring or jacking pits, etc., shall be backfilled as soon as possible and not left open during non-working hours unless covered with material of sufficient strength to withstand traffic loads, or protected by an alternate method approved by the STATE.
 - K. All slopes, slope treatment, top soil, ditches, pipes, etc., disturbed by this operation shall be restored to their original cross section and condition. All open trenches shall be marked by warning signs, barricades, lights, and if necessary, flagmen shall be employed for the purpose of protecting the traveling public.
 - L. The responsibility of the CITY for proper performance, safe conduct, and adequate policing and supervision of the work shall not be lessened or otherwise affected by STATE approval of plans, specifications, or work or by the presence at the work site of STATE representatives, or by compliance by the CITY with any requests for recommendations made by such representatives.
11. STATE's Construction and Maintenance of XXX. The STATE shall inform the CITY in writing no less than forty-five (45) days in advance of planned work to coordinate with the CITY regarding the planned STATE work. Such writing shall include submittal of the STATE's work plans.
- A. In the event that construction and maintenance of XXX within the proximity of the CITY-owned Utility Facilities becomes necessary, it is expressly understood that, upon request from the STATE's representative, the CITY will promptly identify and locate by suitable field markings any and all of their underground Utility Facilities in accordance with RCW 19.122.030.

- B. The CITY shall provide comments and requests in writing to the STATE regarding the STATE's planned work within fifteen (15) business days of submittal of the STATE's work plans for maintenance activities, and within forty five (45) business days for construction activities. The STATE shall endeavor to resolve and incorporate CITY comments, and will coordinate with the CITY regarding their comments and concerns.
- C. The CITY may have an on-site inspector, as it deems necessary, during any excavation or construction work within the permitted area. The inspector shall have complete access to area work and be timely informed of all relevant construction timelines associated with such work.
- D. CITY Construction Guidelines will be followed when considering the placement of other utility facilities in the vicinity of CITY-owned Utility Facilities. No other utility facilities, whether public or private, will be installed within five (5) horizontal feet or eighteen (18) vertical inches of the utility facilities without informing and coordinating with the CITY. Where possible, sewer and storm drains shall be laid at a lower invert elevation than water mains.
- E. No permanent structure will be erected or permitted within the area without coordination with the CITY.
- F. No construction of buildings, fences, walls, or placement of trees, shrubbery, obstruction, or fill material will be placed within the boundaries of area covered by this permit without prior notification and coordination with the CITY. No digging or other construction activity will be undertaken adjacent to the CITY-owned Utility Facilities without prior notification and coordination with the CITY.
- G. No blasting or discharge of any explosives will be undertaken within 50 ft of CITY-owned Utility Facilities without prior notification and coordination with the CITY.

12. Hold Harmless/Indemnification. The CITY, its successors and assigns agree to indemnify, defend and hold the STATE, its officers and employees harmless from all claims, demands, damages, expenses or suits that: (1) arise out of or are incident to any negligence by the CITY, its agents, contractors or employees in the use of the highway right of way pursuant to this Permit, or (2) are caused by the breach of any of the conditions of the Permit by the CITY, its contractors, agents or employees. Nothing herein shall require the CITY to indemnify and hold harmless the STATE and its officers and employees from claims, demands, damages, expenses or suits based solely upon the conduct or negligence of the STATE, its agents, officers, employees and contractors; and provided further that if the claims or suits are caused by or result from the concurrent negligence of (a) the STATE, its agents, or employees, and (b) the CITY, its agents or employees, including those actions covered by RCW 4.24.115, the foregoing obligations shall be valid and enforceable only to the extent of CITY's negligence. The STATE, its successors and assigns, agree to indemnify, defend and hold the CITY, its officers and employees harmless from all claims, demands, damages, expenses or suits that: (1) arise out of or are incident to any negligence by the STATE, its agents, contractors or employees in the use of the highway right of way pursuant to this Permit, or (2) are caused by the breach of any of the conditions of the Permit by the STATE, its contractors, agents or employees. Nothing herein shall require the STATE to indemnify and hold harmless the CITY and its officers and employees from claims, demands, damages, expenses or suits based solely upon the conduct or negligence of the CITY, its agents, officers, employees and contractors; and provided further that if the claims or suits are caused by or result from the concurrent negligence of

(a) the CITY, its agents, or employees, and (b) the STATE, its agents or employees, including those actions covered by RCW 4.24.115, the foregoing obligations shall be valid and enforceable only to the extent of STATE's negligence.

In Witness whereof, the parties have executed this Permit as of the _____ day of _____ 2010.

Accepted on Behalf of SCL

STATE OF WASHINGTON
Department of Transportation

By: _____

By: _____

MEMORANDUM OF AGREEMENT

UT 01476

SR 99 ALASKAN WAY VIADUCT REPLACEMENT
SCL FACILITIES WORK AGREEMENT
FOR SR99 BORED TUNNEL PROJECT

EXHIBIT C
NON-DISCLOSURE AGREEMENT

City of Seattle

Non-Disclosure Agreement

This Non-Disclosure Agreement ("Agreement") is made and entered into as of _____ 2010, between **The City of Seattle**, by and through its **City Light Department** ("Disclosing Party") and _____ ("Recipient Party"), Disclosing Party and Recipient agree as follows:

1. DEFINITIONS

"Confidential Information" shall mean: (i) all information disclosed in tangible form by Disclosing Party and marked "confidential" or "proprietary." (ii) Any oral information designated as Confidential Information by the Disclosing Party at the time the oral information is provided.

2. PURPOSE

The Recipient shall use the Confidential Information only for the following purposes:

- a. to _____ evaluate _____

3. OBLIGATION OF CONFIDENTIALITY

The Recipient will use the same degree of care, but not less than a reasonable degree of care to prevent the unauthorized use, dissemination or publication of the Confidential Information as the Recipient uses to protect its own confidential or proprietary information of a like nature. The Recipient shall limit the use of and access to Disclosing Party's Confidential Information to the Recipient's employees or independent contractors who need to know such Confidential Information, for the purpose set forth in Section 2 above and who have entered into binding obligations of confidentiality substantially similar to the obligations set forth herein.

4. CONFIDENTIALITY PERIOD

The Recipient's obligations to protect Confidential Information hereunder shall expire three (3) years from the date of each such disclosure of Confidential Information.

5. EXCEPTIONS

The Recipient has no obligation of confidentiality to any Confidential Information that:

- a. is or becomes a matter of public knowledge through no fault of the Recipient; or
b. was in the Recipient's possession or known by it prior to receipt from Disclosing Party; or

c. was rightfully disclosed to the Recipient by another party without restriction; or

d. is independently developed by the Recipient without access to Disclosing Party's Confidential Information.

The Recipient may disclose Confidential Information pursuant to any statutory or regulatory requirement or court order, provided that Disclosing Party is, to the extent legally permitted, given ten (10) days advance notice of any proposed disclosure, in order for Disclosing Party to pursue a protective order. If a protective order is not obtained by Disclosing Party at the conclusion of this ten (10) day period, the Recipient may disclose the requested Confidential Information without further liability.

6. EQUITABLE RELIEF

The Recipient acknowledges and agrees that due to the unique nature of Disclosing Party's Confidential Information, there may be no adequate remedy at law for any breach of its obligation. Recipient further acknowledges that any such breach may allow the Recipient or third parties to unfairly compete with the Disclosing Party resulting in irreparable harm to the Disclosing Party, and therefore, upon any such breach or threat thereof, Disclosing Party shall be entitled to seek appropriate equitable relief. The Recipient will notify Disclosing Party in writing immediately upon the occurrence of any such unauthorized release or other breach.

7. INTELLECTUAL PROPERTY RIGHTS

Recipient does not acquire any intellectual property rights under this Agreement or through any disclosure hereunder, except the limited right to use such Confidential Information in accordance with this Agreement.

8. Return of Information

At any time, the Disclosing Party may request the return or the destruction, of all tangible Confidential Information previously delivered to the Recipient. Upon receipt of such request, all such Confidential Information, including without limitation any copies, summaries or compilations of such information,

still in the Recipient's possession or under its control shall be promptly returned or destroyed, as requested.

9. GENERAL

This Agreement supersedes all prior discussions and writing with respect to the subject matter hereof. No waiver or modification of this Agreement will be binding upon either party unless made in writing and signed by a duly authorized representative of each party and no failure or delay in enforcing any right will be deemed a waiver of such right. The parties understand that nothing herein requires either party to proceed with any proposed transaction or relationship in connection with which the Confidential Information may be disclosed. In the event that any of the provisions of this Agreement shall be

held by a court of competent jurisdiction to be unenforceable, the remaining portions hereof shall remain in full force and effect. This Agreement shall be governed by the laws of the State of Washington without regard to conflicts of laws provisions thereof, and each party submits to the jurisdiction and venue of the Washington state or federal court serving the King County area with respect to the subject matter of this Agreement. The headings to the Sections of this Agreement are included merely for reference and shall not affect the meaning of the language included therein. This Agreement is written in the English language only, which language shall be controlling in all respects. If applicable, this Agreement may be executed in counterparts or by facsimile, each of which shall be deemed an original, and all of which together shall constitute one and the same agreement.

WHEREFORE, the Parties acknowledge that they have read and understood this Agreement and voluntarily accept the duties and obligations set forth herein.

Disclosing Party

**The City of Seattle,
by and through its City Light Department**

By: _____

Title:

Address: 700 Fifth Avenue, Suite 3200
PO Box 34023
Seattle, WA 98124-4023

Recipient Party

By: _____

Title:

Address:

Attachment 3

Memorandum of Agreement UT 01474

SR 99 Alaskan Way Viaduct Replacement

SPU Facilities Work Agreement For SR99 Bored Tunnel Project

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MEMORANDUM OF AGREEMENT
UT 01474
SR 99 ALASKAN WAY VIADUCT REPLACEMENT
SPU FACILITIES WORK AGREEMENT
FOR SR99 BORED TUNNEL PROJECT

THIS Memorandum of Agreement, UT 01474, SR 99 Alaskan Way Viaduct Replacement, SPU Facilities Work Agreement for SR 99 Bored Tunnel Project ("Agreement") is made and entered into, as provided in RCW 39.34.080, RCW 47.12.040 and other applicable law, between the Washington State Department of Transportation, hereinafter the "STATE," and the City of Seattle, hereinafter the CITY, (managed by Seattle Public Utilities, hereinafter "SPU"), collectively the "PARTIES" and individually the "PARTY."

WHEREAS, the Alaskan Way Viaduct (AWV) and seawall are at risk of sudden and catastrophic failure in an earthquake and are nearing the end of their useful lives; and

WHEREAS, the STATE and the Federal Highway Administration (FHWA), in consultation with the CITY, are proposing improvements to State Route 99 (SR 99), currently a non-limited access highway that includes the AWV; and

WHEREAS, in March 2007, the Governor, the King County Executive and the Mayor of Seattle pledged to advance a series of key SR 99 projects (Moving Forward Projects) that will facilitate the removal and/or repair of key portions of SR 99, which are: Yesler Way Vicinity Stabilization Project, Electrical Line Relocation (formerly known as Electrical Utility Relocation Phase 1 under agreement No. GCA 5680), Battery Street Tunnel Fire and Life Safety Upgrades, SR 99 Lenora to Battery Street Tunnel Improvements, the SR 99 South Holgate Street to South King Street Viaduct Replacement Project, and Transit Enhancements and Other Improvements; and

WHEREAS, in January 2009, the Governor, the King County Executive and the Mayor of Seattle recommended replacement of the existing AWV structure in the central waterfront area with a bored tunnel; and

WHEREAS, in October 2009 the Governor and the Mayor executed a Memorandum of Agreement, GCA 6366, which described the basic roles and responsibilities for the implementation of the Alaskan Way Viaduct and Seawall Replacement (AWVSR) Program; and

WHEREAS, the AWVSR Program (PROGRAM) consists of a four-lane bored tunnel and improvements to City streets, the City waterfront, and transit; and the Moving Forward Projects; and

WHEREAS, the PROJECT, the subject of this Agreement, is the part of the PROGRAM that replaces SR 99 from South Royal Brougham Street to Roy Street that consists of designing and constructing a four-lane bored tunnel from South King Street to Thomas Street, north and south

1 tunnel portals and access streets; re-establishment of the City street grid in the vicinity of the
2 portals and associated utility relocations; and
3

4 WHEREAS, Battery Street Tunnel decommissioning and Alaskan Way Viaduct demolition will
5 be addressed in a future agreement; and
6

7 WHEREAS, the CITY and STATE agree to work collaboratively toward the successful
8 completion of the PROJECT and endeavor to open the tunnel by the end of 2015 and demolish
9 the Alaskan Way viaduct in 2016; and
10

11 WHEREAS, the PROJECT is consistent with the CITY of Seattle's adopted Comprehensive
12 Plan; and
13

14 WHEREAS, the CITY and the STATE will deliver the PROJECT within the financial
15 commitments made in the Memorandum of Agreement, GCA 6366, executed by the PARTIES
16 on October 24, 2009; and
17

18 WHEREAS, concurrently with this UT 01474 Agreement, the STATE and CITY, through
19 Seattle City Light (SCL), are entering into an agreement, UT 01476; and
20

21 WHEREAS, concurrently with this UT 01474 Agreement, the STATE and CITY, through the
22 Seattle Department of Transportation, are entering into an agreement, GCA 6486; and
23

24 WHEREAS, the CITY will own and/or maintain significant infrastructure to be constructed as
25 part of the PROJECT; and
26

27 WHEREAS, some or all of the work covered by this Agreement may be accomplished by
28 executed "Task Order" documents; and
29

30 WHEREAS, the PROJECT will require the removal of existing City electrical, water, drainage
31 and wastewater facilities that have alignments intersecting or that directly conflict with the
32 tunnel portals and tunnel portal excavations ("Conflicting Facilities"), and the construction of
33 new facilities and service connections, (excluding temporary construction and permanent
34 electrical services for the PROJECT) to a permanent and final location to replace the Conflicting
35 Facilities (together, the "Relocation Work"); and
36

37 WHEREAS, the PROJECT will also require the planning, operational and construction
38 management practices, monitoring and other work to avoid and/or remedy damage
39 ("Deformation Mitigation Work"); and
40

41 WHEREAS, together the SPU Facilities Relocation Work and the SPU Facilities Deformation
42 Mitigation Work will comprise the "SPU Facilities Work" of the PROJECT;
43

44 NOW, THEREFORE, in consideration of the terms, conditions, covenants, and performances
45 contained herein, or attached and incorporated and made a part hereof,

1
2 IT IS MUTUALLY AGREED AS FOLLOWS:
3

4 **1. DEFINITIONS**

5
6 Words not otherwise defined, which have well-known technical or construction industry
7 meanings, are used in accordance with such recognized meanings.
8

9 1.1 Approved Plans means the construction plans and provisions that evidence the CITY's
10 determinations, made through the processes described in Sections 6 and 7 and Exhibit B of GCA
11 6486, that the plans conform to the criteria established in GCA 6486 and this Agreement;
12 Approved Plans are included in the contract documents evidencing the agreement between the
13 STATE and its contractors for construction of a given element of the PROJECT.
14

15 1.2 AWV means the Alaskan Way Viaduct structure on State Route 99, currently a non-
16 limited-access highway over a portion of CITY Street Right-of-Way.
17

18 1.3 Betterment means any upgrading of the SPU Facilities, or the design and construction of
19 any new SPU Facilities that is not attributable to the PROJECT or PROGRAM and is made
20 solely for the benefit of and at the election of SPU. Examples of work that will not constitute a
21 Betterment, so that SPU shall not bear cost responsibility, are:

22 1.3.1 If existing devices or materials are no longer regularly manufactured or cannot be
23 obtained in time to meet the PROJECT schedule, needs or requests by the STATE, then devices
24 or materials of equivalent standards although not identical, of the next highest grade or size; or

25 1.3.2 Upgrades to SPU Facilities necessary to meet current code requirements and SPU
26 published standards; or

27 1.3.3 Work required by SPU to maintain current service and capacity; or

28 1.3.4 Work required by current design and construction practices regularly followed by
29 SPU in its own work and/or considered an industry design or construction standard.
30

31 1.4 Business Days means Monday through Friday, inclusive, except for official City of
32 Seattle and state holidays.
33

34 1.5 CITY means the City of Seattle, a Washington municipal corporation.
35

36 1.6 City Construction Project Engineer means the person designated by SDOT to act as the
37 City's coordinator and primary representative in matters arising during the course of construction
38 as set forth in this Agreement.
39

40 1.7 CITY Facilities means SCL Facilities, SDOT Facilities, SPU Facilities and facilities
41 impacted by, or constructed as part of, the PROJECT that are owned or will be owned by any
42 other CITY agency.
43

1 1.8 CITY Infrastructure means the portions of SPU Facilities, SCL Facilities and City Street
2 Right-of -Way improvements constructed or modified as part of the PROJECT to be owned,
3 operated and maintained by the CITY.
4

5 1.9 City of Seattle means CITY.
6

7 1.10 City Standards means all City of Seattle laws, rules, regulations and standards and all
8 applicable federal and state laws, rules, regulations and standards, including but not limited to
9 the following, except as otherwise provided in this Agreement, GCA 6486 and UT 01476:

10 The Seattle Municipal Code;

11 The City of Seattle Standard Specifications for Road, Bridge and Municipal
12 Construction;

13 The City of Seattle Standard Plans for Municipal Construction;

14 SDOT, SCL, DPD and SPU Director's Rules, including the City of Seattle Right of Way
15 Improvements Manual, 2005-22 and any revisions to the Manual;

16 SCL Material Standards; and

17 SCL Construction Guidelines.
18

19 1.11 CITY Street Right-of-Way means public street right-of-way under the jurisdiction of
20 SDOT pursuant to Title 15 of the Seattle Municipal Code.
21

22 1.12 Conceptual Relocation Plan means a work product that defines the general scope of
23 Relocation Work including a planning level estimate of design and construction costs, as further
24 described in Section 3 herein.
25

26 1.13 Conflicting Facilities means all SCL Facilities and all SPU Facilities identified by the
27 STATE that have alignments intersecting or that directly conflict with the final configuration of
28 the proposed SR 99 bored tunnel portals and tunnel portal excavations. Conflicting Facilities do
29 not include any SPU Facilities or SCL Facilities that have been relocated to or installed or
30 reconstructed in their present location by the STATE or by order of the STATE as part of the
31 Moving Forward projects of the Program south of South Dearborn Street.
32

33 1.14 Contract Award means the STATE's written decision accepting bid for construction of a
34 Project.
35

36 1.15 Defective Work means design or construction work or materials that fail to comply with
37 the Approved Plans, CITY-approved modifications to the Approved Plans, or the laws, rules,
38 regulations or standards as specified in this Agreement.
39

40 1.16 Deformation means any 3-dimensional displacement or combination of displacements.
41 This definition includes, but is not limited to, the terms "tilt," "strain," "settlement," "heave,"
42 "lateral movement," and related terminology that are common industry terminology for
43 deformation in specific situations. Where such industry terminology is used for convenience
44 herein, it does not imply that the broad definition of deformation has been limited.
45

1 1.17 Deformation Mitigation Work means any planning, operational and construction
2 management practices, monitoring and temporary or permanent SPU Facilities Work including
3 maintenance of service undertaken to avoid damage as a result of Deformation and remedy such
4 damage should it occur, as further described in Section 4 herein.

5
6 1.18 DPD means the City of Seattle Department of Planning and Development.

7
8 1.19 Engineer of Record means the engineer licensed in the State of Washington who has been
9 commissioned by the STATE as the prime engineer of the PROJECT, having overall
10 responsibility for the adequacy of the design and the coordination of the design work of other
11 engineers and whose professional seal is on the Approved Plans.

12
13 1.20 Hazardous Substance(s) means any substance, or substance containing any component,
14 now or hereafter designated as a hazardous, dangerous, toxic or harmful substance, material or
15 waste, subject to regulation under any federal, state or local law, regulation or ordinance relating
16 to environmental protection, contamination or cleanup including, but not limited to, those
17 substances, materials and wastes listed in the United States Department of Transportation
18 Hazardous Materials Table (49 C.F.R. §172.101) or by the United States Environmental
19 Protection Agency as hazardous substances (40 C.F.R. pt. 302 and amendments thereto) or in the
20 Washington Hazardous Waste Management Act (Ch. 70.105 RCW) or the Washington Model
21 Toxics Control Act (Chs. 70.105D RCW and 82.21 RCW), petroleum products and their
22 derivatives, and such other substances, materials and wastes as become regulated or subject to
23 cleanup authority under any Environmental Law.

24
25 1.21 Letter of Acceptance means the written document that signifies the CITY's acceptance of
26 CITY Infrastructure to be owned by the CITY, and shall signify the STATE's transfer of CITY
27 Infrastructure to be owned by the CITY. The Letter of Acceptance will not transfer any interest
28 in real property. The Letter of Acceptance shall be jointly executed by the PARTIES. A Letter
29 of Acceptance for SPU Facilities requires SPU approval and a Letter of Acceptance for SCL
30 Facilities requires SCL approval.

31
32 1.22 Letter of Plan Approval means the letter provided to the STATE by the CITY following
33 the completion of the plan review process described in Exhibit B to GCA 6486, signifying that
34 the plans and specifications identified in the letter are the Approved Plans. A Letter of Plan
35 Approval for SPU Facilities requires SPU approval and a Letter of Plan Approval for SCL
36 Facilities requires SCL approval as part of as part of the Procedures outlined in Exhibit B of the
37 SDOT Agreement GCA 6486.

38
39 1.23 New Work means the design and construction by or at the direction of SPU of a new
40 utility other than (a) as part of a relocation associated with the PROJECT, or (b) to provide
41 service to the PROJECT. New Work shall be entirely the financial obligation of SPU.

42
43 1.24 Private Utilities mean utility uses, excluding facilities owned and operated by the CITY,
44 whether approved or not through franchise agreements and/or Street Use Permits by the CITY
45 and governed and enforced through City Ordinance.

1
2 1.25 Procedures mean *Design Review, Construction Management, Inspection and Record*
3 *Drawing Procedures*, attached as Exhibit B to GCA 6486.
4

5 1.26 PROJECT means the Proposed Bored Tunnel Project, the part of the PROGRAM that
6 replaces SR 99 from South Royal Brougham Street to Roy Street and that consists of designing
7 and constructing a four-lane bored tunnel from South King Street to Thomas Street, north and
8 south tunnel portals and access streets, re-establishment of the City street grid in the vicinity of
9 the portals (Battery Street Tunnel decommissioning and Alaskan Way Viaduct demolition are
10 not part of the PROJECT and will be addressed in a future agreement); and associated utility
11 relocations. PROJECT description is attached as Exhibit A to GCA 6486.
12

13 1.27 PROGRAM means all the projects, collectively, implemented by the STATE and the
14 CITY that remove and replace the AWW and seawall.
15

16 1.28 Relocation Work means the removal or abandonment of each Conflicting Facility, and
17 the installation or reconstruction of each Conflicting Facility to its permanent and final location
18 and work necessary to continue service to SPU customers during construction.
19

20 1.29 Remediation means the same as Remedy or Remedial Action defined in MTCA which,
21 includes any action or expenditure consistent with the purposes of MTCA to identify, eliminate,
22 or minimize any threat or potential threat posed by Hazardous Substances to human health or the
23 environment including any investigative and monitoring activities with respect to any release or
24 threatened release of a Hazardous Substance and any assessments to determine the risk or
25 potential risk to human health or the environment.
26

27 1.30 SCL means Seattle City Light.
28

29 1.31 SCL Facilities means the electrical facilities impacted by, or constructed as part of, the
30 PROJECT that are owned or will be owned by the CITY.
31

32 1.32 SCL Facilities Work means work required to design, construct and protect the SCL
33 Facilities as part of the PROJECT.
34

35 1.33 SDOT means the Seattle Department of Transportation.
36

37 1.34 SDOT Facilities means the streets and roadway facilities impacted by, or constructed as
38 part of, the PROJECT that are owned or will be owned by the CITY.
39

40 1.35 SPU means Seattle Public Utilities.
41

42 1.36 SPU Facilities means the water, drainage and wastewater facilities impacted by, or
43 constructed as part of, the PROJECT that are owned or will be owned by the CITY.
44

1 1.37 SPU Facilities Work means work required to design, construct and protect the SPU
2 Facilities as part of the PROJECT.

3
4 1.38 STATE means the Washington State Department of Transportation.

5
6 1.39 STATE Project Engineer means the persons appointed by the STATE to lead the
7 PROJECT during design and/or construction or his or her designee.

8
9 1.40 Task Force means a group consisting of STATE, CITY, contractor, and other stakeholder
10 staff meeting regularly to review and reach decisions relating to a particular subject, e.g., traffic,
11 structures.

12
13 1.41 Task Order means a document executed by the PARTIES under this Agreement
14 authorizing work by one PARTY to be done on behalf of the other PARTY and that defines the
15 scope and the obligations of the PARTIES for the given element of work. All terms and
16 conditions of the Agreement shall apply to each Task Order.

17
18 1.42 Utility Easement means a non-exclusive permanent right over real property for the
19 operation, maintenance, repair and replacement of the SPU Facilities, in the form attached as
20 Exhibit A.

21
22 1.43 Utility Service Work means any facilities required to provide temporary Utility services
23 for construction of the PROJECT; and any work needed to obtain permanent SPU services to the
24 bored tunnel or SPU customers.

25
26 1.44 WSDOT means Washington State Department of Transportation.

27
28 Words not otherwise defined, which have well-known technical or construction industry
29 meanings, are used in accordance with such recognized meanings.

30 31 2. GENERAL RESPONSIBILITIES

32
33 2.1 The PARTIES shall manage risk, produce design and conduct construction in a manner
34 that maximizes cumulative public benefits and minimizes cumulative public costs as mutually
35 agreed to by the PARTIES.

36
37 2.2 This Agreement in conjunction with GCA 6486 and UT 01476 is prepared by the STATE
38 and CITY, as provided in RCW 39.34.080, RCW 47.12.040 and other applicable law, to govern
39 relationships between the PARTIES and establish each PARTY's responsibilities regarding the
40 PROJECT.

41
42 2.3 The PARTIES understand that environmental review of the proposed PROJECT is
43 underway at the date of this Agreement and agree that only preliminary design work and other
44 work outlined in 23 CFR 636.109(b)(2) may proceed under this Agreement prior to issuance of a
45 Final SEPA/NEPA Environmental Impact Statement (FEIS) and federal Record of Decision